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## Loading Authority Records; Handling Ambiguous Headings

- **Article Type:** General
  - **Product:** Aleph
  - **Product Version:** 18.01
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### Description:

We are working on setting up loading authority records. We have an input file of AUT records that we convert to Aleph sequential format by doing p\_file\_01 and p\_file\_02. Then we turn ue\_08 off and run p\_manage\_31.

We have had considerable discussion about whether we should set up our AUT records to be automatically upd=Y or upd=N. (We had heard that some other libraries were creating their AUT records as upd=N to avoid unintended flips.) We understand that, rather than doing upd=N, there is some other service we could run before turning ue\_08 back on that would identify potential unintended consequences. Can you give us any guidance as to what our options are?

### Resolution:

Note: In addition to the following, there is information on handling ambiguous headings under the Authorities Section 3.4 of the User Guide.

There are 2 basic options:

#### 1. p\_auth\_04

This service creates a report that lists the Authority headings present in more than one record. There is "Block Records" option to p\_auth\_04, which, if set to "Yes", when this procedure finds a heading with more than one record, then this batch process updates the 008 field, position 14-16 (usage codes), in the related Authority records. The content of these positions is changed to uppercase, so that the record becomes no longer relevant for the BIB-AUT connection.

In either case (BLOCK or not) the job produces a report showing the conflicts.

#### 2. fix\_doc\_aut\_duplicate (new in v16.02)

This routine in the tab\_fix table of the Authority library to prevents a duplicate entry being inserted into the index.

fix\_doc\_aut\_duplicate changes the Authority record, adding the contents of the 1XX field to subfield \$7 of the 4xx, thus disambiguating the See From entry. In later versions, you can specify which subfield will be added to the 4XX.

fix\_doc\_aut\_duplicate can be included in the P-31 section of tab\_fix, for execution as part of p\_manage\_31.

You also need to be sure to include the subfield (\$7 or whatever you are using) in the definition of the related browse indexes.

(Keywords: authorities p\_file\_01 file\_01 p-file-01 p\_file\_02 file\_02 p-file-02 p-auth-04 auth\_04 p-manage-31 manage\_31 p-manage-37 manage\_37)

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